CS772: Deep Learning for Natural Language Processing (DL-NLP)

Cross Entropy Loss and Softmax, Start of RNN Pushpak Bhattacharyya Computer Science and Engineering Department IIT Bombay Week 6 of 7th Feb, 2022

multiclass: SOFTMAX



- 2-class \rightarrow multi-class (C classes)
- Sigmoid \rightarrow softmax
- *ith* input, *cth* class (small c), *k* varies over classes

Softmax Neuron



Compare and contrast Sigmoid and Softmax

sigmoid : $o_i = \frac{1}{1 + e^{-net_i}}$, for i^{th} input

soft max :
$$o_c^i = \frac{e^{net_c^i}}{\sum\limits_{k=1}^C e^{net_k^i}},$$

*i*th input, *c*th class (small c), *k* varies over classes 1 to *C*

Interpreting oⁱ_c

- o_c^i value is between 0 and 1
- Interpreted as probability
- Multi-class situation
- oⁱ_c value is the probability of the class being 'c' for the ith input
- That is,

P(Class of ith input=c)=oⁱ_c

Derivatives

Derivative of Softmax

$$o_{c}^{i} = \frac{e^{net_{c}^{i}}}{\sum_{k=1}^{C} e^{net_{k}^{i}}}, i^{th} input pattern$$

$$\ln o_{c}^{i} = e^{net_{c}^{i}} - \ln(\sum_{k=1}^{C} e^{net_{k}^{i}})$$

Derivative of Softmax: Case-1, class c for O and NET same



Derivative of Softmax: Case-2, class c' in $net_{c'}$ different from class c of O $\ln o_c^i = net_c^i - \ln(\sum^C e^{net_k^i})$ k=1 $\frac{1}{o_c^i} \frac{\partial o_c^i}{\partial net_c^i} = 0 - \frac{1}{\sum_{k=1}^C e^{net_k^i}} \cdot e^{net_c^i} = -o_c^i$ $\Rightarrow \frac{\partial O_k^c}{\partial net^i} = -o_c^i o_c^i$

FFNN: Working with RELU

Rectifier Linear Unit

What is **RELU**



= 0 (forced to be 0 at x=0, though does not exit)

Output sigmod and hidden neurons as RELU



$$\begin{split} \Delta w_{ji} &= -\eta \frac{\delta E}{\delta w_{ji}} \\ \eta &= \text{learning rate, } 0 \leq \eta \leq 1 \\ \frac{\delta E}{\delta w_{ji}} &= \frac{\delta E}{\delta n e t_j} \times \frac{\delta n e t_j}{\delta w_{ji}} \\ n e t_j &= \text{input at the } j^{th} \text{ neuron}) \\ \frac{\delta E}{\delta n e t_j} &= -\delta j \\ \Delta w_{ji} &= \eta \delta j \frac{\delta n e t_j}{\delta w_{ji}} = \eta \delta j o_i \end{split}$$

Backpropagation – for outermost layer

$$\delta j = -\frac{\delta E}{\delta net_j} = -\frac{\delta E}{\delta o_j} \times \frac{\delta o_j}{\delta net_j} (net_j = \text{input at the } j^{th} \text{ layer})$$

$$E = \frac{1}{2} \sum_{p=1}^{m} (t_p - o_p)^2$$

Hence, $\delta j = -(-(t_j - o_j)o_j(1 - o_j))$

$$\Delta w_{ji} = \eta (t_j - o_j) o_j (1 - o_j) o_i$$

Backpropagation – for hidden layers



Backpropagation Rule for weight change with RELU, Sigmoid and TSS

$$\Delta w_{ji} = \eta \delta j o_i$$

$$\delta_j = (t_j - o_j)o_j(1 - o_j)$$
 for outermost layer

$$= \sum_{k \in \text{next layer}} (w_{kj} \delta_k) \text{ or } 0 \quad \text{for hidden layers}$$

Softmax, Cross Entropy and RELU

Cross Entropy Function

$$H(P,Q) = -\sum_{x} P(x) \log_2 Q(x)$$

P is target distribution, *Q* is observed distribution

e.g., Positive, Negative, Neutral Sentiment x: input sentence: *The movie was excellent P(x):* <1,0,0>, *Q(x):* <0.9,0.02,0.08>, (say) *H(P,Q)=-log0.9=log(10/9)*

Deriving weight change rules

Cross Entropy Softmax combination A very ubiquitous combination in neural combination

Foundation: Gradient descent

- Change is weight $\Delta w_{jj} = \eta \delta L / \delta w_{jj}$
- η = learning rate, L=loss, w_{jj} = weight of connection from the *i*th neuron to *j*th

At A, $\delta L / \delta w_{ji}$ is negative, so Δw_{ji} is positive. At B, $\delta L / \delta w_{ji}$ Is positive, so so Δw_{ji} is negative. L *always decreases. Greedy algo.





 $\Delta w_1 = \eta(t-o)x_1$

FFNN with O₁-O₂ softmax, all hidden neurons RELU, Cross Entropy Loss



We will apply the $\Delta w_{ji} = \eta \delta_j o_i$ rule

Gradient Descent Rule and the General Weight Change Equation

$$\Delta W_{1,21} = \eta \delta_{o_1} h_{21}$$

$$E = -t_2 \log o_2 - t_1 \log o_1$$

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$$\frac{\partial E}{\partial net_1} = \frac{\partial E}{\partial o_1} \cdot \frac{\partial o_1}{\partial net_1} + \frac{\partial E}{\partial o_2} \cdot \frac{\partial o_2}{\partial net_1}$$

$$= -\frac{t_1}{o_1} o_1 (1 - o_1) + (-\frac{t_2}{o_2})(-o_1 o_2)$$

$$= -t_1 (1 - o_1) + t_2 o_1$$

$$= -t_1 o_2 + t_2 o_1 = -(t_1 - o_1)$$

$$\Rightarrow \delta_{o_1} = (t_1 - o_1)$$
Similarly, $\delta_{o_2} = (t_2 - o_2)$

Weight Change for Hidden Layer, W_{21,11}



 $\Delta W_{21,11} = \eta[(t_2 - o_2)W_{2,21} + (t_1 - o_1)W_{1,21}] \cdot r'(H_{21}) \cdot h_{11}$

2021: Midsem questions on FFNN (10, 11, 12) There is a pure feedforward network 2-2-2 (2 input, 2 hidden and 2 output neurons). Input neurons are called X₁ and X₂ (right to left when drawn on paper, X_1 to the right of X₂). Similarly hidden neurons are H_1 and H_2 (right to left) and output neurons are O_1 and O_2 (right to left). H_1 and H_2 are RELU neurons. O_1 and O_2 form a softmax layer.

Remember: weight change rules



$$E = -t_2 \log o_2 - t_1 \log o_1$$

$$\Delta W_{11}^2 = \eta(t_1 - o_1)h_1$$

$\Delta W_{11}^{1} = \eta[(t_2 - o_2)W_{21}^{2} + (t_1 - o_1)W_{11}^{1}].r'(H_1).h_1$

Why is RELU a solution for vanishing or exploding gradient?

Vanishing/Exploding Gradient



Vanishing/Exploding Gradient



Vanishing/Exploding Gradient



How can gradients explode

- Station derivatives multiply
- If <0, progressive attenuation of product
- Now the sigmoid function can be in the form of y=K[1/(1+e^{-x})]
- Derivative= K.y.(1-y)
- If K is more than 1, the product of gradients can become larger and larger, leading to explosion of gradient
- K needs to be >1, to avoid saturation of neurons

Can happen for tanh too

- Tanh: *y=[(e^x-e^{-x})/(e^x+e^{-x})]*
- Derivative= (1-y)(1+y)
- If we take a neuron with *K.tanh*, we can again have explosion of gradient if K>1
- Why K needs to be >1?
- To take care of situations where #inputs and individual components of input are large
- This is to avoid saturation of the neuron

Recurrent Neural Network

Acknowledgement:

<u>1. http://www.wildml.com/2015/09/recurrent-neural-networks-tutorial-part-1-introduction-to-rnns/</u>

By Denny Britz

2. Introduction to RNN by Jeffrey Hinton

http://www.cs.toronto.edu/~hinton/csc2535/lectures.html

3. Dr. Anoop Kunchukuttan, Microsoft and ex-CFILT

Sequence processing m/c



Meaning of state

- State vector → constituted of states of neurons
- State of a neuron → activation, i.e., output of the neuron corresponding to an input
- E.g., state vector for the XOR n/w is $< h_1, h_2, o >$



E.g. POS Tagging



Note that POS of "purchased" is ambiguous With possibilities as VBD or VBN or JJ ("I purchased Videocon machine" vs.

"my purchased Videocon machine is running well"

E.g. Sentiment Analysis
















Recurrent Neural Networks: two key Ideas

1. Summarize context information into a single vector



$$c(x_i) = F(x_1, x_2, \dots, x_{i-1})$$

 $P(x_i|c(x_i))$ Function G requires $c(x_i)$ x_{i-1} all context inputs at once How does RNN n-gram LM: look-up table address this FF LM: $c(x_i) = G(x_{i-1}, x_{i-2})$ (trigram LM) problem? RNN LM: $c(x_i) = F(x_1, x_2, ..., x_{i-1})$ (unbounded

context)

Nature of P(.)

Two Key Ideas (cntd)

2. Recursively construct the context

$$c(x_i) = F(c(x_{i-1}), x_i)$$



We just need two inputs to construct the context vector:

- Context vector of previous timestep

Current input
The context vector
 → state/hidden state/contextual representation

F(.) can be implemented as

$$c(x_i) = \sigma(W^c c(x_{i-1}) + W^x x_i + b_1)$$

Like a feed-forward network

Generate output give the current input and state/context

W^o=wt. for output layer;

W^c= wt. for generating next state (context);

 W^x = wt. for the input layer

$$o(x_i) = W^o c(x_i) + b_2$$

We are generally interested in categorical outputs

$$\hat{z}_i = softmax(o(x_i)) = P(y_i | ctx(x_i))$$

$$\widehat{z_i^w} = P(y_i = w | ctx(x_i))$$

The same parameters are used at each time-step Model size does not depend on sequence length Long range context is modeled



Sequence Labelling Task

 $(x_1 \ x_2 \ x_3 \ x_4 \dots x_i \dots x_N)$ Input Sequence: Output Sequence:

 $(y_1 \ y_2 \ y_3 \ y_4 \dots y_i \dots y_N)$

Input and output sequences have the same length

Variable length input

Output contains categorical labels

Output at any time-step typically depends on neighbouring output labels and input

elements

Part-of-speech tagging

VERB NOUN PRON DET ADJ early upgrade want an

Recurrent Neural Network is a powerful model to learn sequence labelling tasks

How do we model language modeling as a sequence labeling task?



The output sequence is one-time step ahead of the input sequence

Training Language Models

Input: large monolingual corpus

- Each example is a tokenized sentence (sequence of words)
- At each time step, predict the distribution of the next word given all previous words
- Loss Function:
 - Minimize cross-entropy between actual distribution and predicted distribution
 - Equivalently, maximize the likelihood

At a single time-step:

$$J_i(\theta) = CE(z_i, \hat{z}_i) = -\sum_{w \in V} z_i^w \log \widehat{z_i^w} = -\log \widehat{z_i^L}$$

Average over time steps for example n: $J^{n}(\theta) = \frac{1}{T} \sum_{i=1}^{T} J_{i}(\theta)$



Average over entire corpus: $J(\theta) = \frac{1}{N} \sum_{k=1}^{N} J^{n}(\theta)$

Evaluating Language Models

How do we evaluate quality of language models?

Evaluate the ability to predict the next word given a context

Evaluate the probability of a testset of sentences

Standard testsets exist for evaluating language models: Penn Treebank, Billion Word Corpus, WikiText

Evaluating LM (cntd.)

- Ram likes to play -----
 - Cricket: high probability, low entropy, low perplexity (relatively very high frequency for 'like to play cricket')
 - violin: -do- (relatively high frequency possibility for 'like to play violin'
 - Politics: moderate probability, moderate entropy, moderate perplexity (relatively moderate frequency 'like to play politics'
 - milk: almost 0 probability, very high entropy, very high perplexity (relatively very low possibility for 'like to play milk'
 - So an LM that predicts 'milk' is bad!

Language Model Perplexity

Perplexity:

 $\exp(J(\theta))$

 $J(\theta)$ is cross-entropy on the test set

Cross-entropy is measure of difference between actual and predicted distribution

Lower perplexity and cross-entropy is better

Training objective matches evaluation metric

	Model	Perplexity
n-gram	Interpolated Kneser-Ney 5-gram (Chelba et al., 2013)	67.6
	RNN-1024 + MaxEnt 9-gram (Chelba et al., 2013)	51.3
	RNN-2048 + BlackOut sampling (Ji et al., 2015)	68.3
	Sparse Non-negative Matrix factorization (Shazeer et al., 2015)	52.9
	LSTM-2048 (Jozefowicz et al., 2016)	43.7
	2-layer LSTM-8192 (Jozefowicz et al., 2016)	30
	Ours small (LSTM-2048)	43.9
NN variants	Ours large (2-layer LSTM-2048)	39.8

https://research.fb.com/building-an-efficient-neural-language-model-over-a-billion-words/

RNN models outperform n-gram models

A special kind of RNN network – LSTM- does even later → we will see that soon

BPTT

The equivalence between feedforward nets and recurrent nets



Assume that there is a time delay of 1 in using each connection.

The recurrent net is just a layered net that keeps reusing the same weights.



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BPTT illustration

Loss/Error= *E*

$$\Delta w_i = \Delta w_i^3 + \Delta w_i^2 + \Delta w_i^1$$

Vanishing/Exploding Gradient can strike!!!



BPTT important points

- The forward pass at each time step.
- The backward pass computes the error derivatives at each time step.
- After the backward pass we add together the derivatives at all the different times for each weight.

A few points about FFNN BP

Can Linear Neurons Work?



 $h_{1} = m_{1}(w_{1}x_{1} + w_{2}x_{2}) + c_{1}$ $h_{1} = m_{2}(w_{1}x_{1} + w_{2}x_{2}) + c_{2}$ $Out = (w_{5}h_{1} + w_{6}h_{2}) + c_{3}$ $= k_{1}x_{1} + k_{2}x_{2} + k_{3}$

Local Minima

Due to the Greedy nature of BP, it can get stuck in local minimum *m* and will never be able to reach the global minimum g as the error can only decrease by weight change.



m- local minima, g- global minima

Figure- Getting Stuck in local minimum

Momentum factor

1. Introduce momentum factor.

 (Δw_{ji}) nth – iteration = $\eta \delta_j O_i + \beta (\Delta w_{ji})$ (n – 1)th – iteration

- Accelerates the movement out of the trough.
- Dampens oscillation inside the trough.
- > Choosing β : If β is large, we may jump over the minimum.

Symmetry breaking

• If mapping demands different weights, but we start with the same weights everywhere, then BP will never converge.



XOR n/w: if we s started with identical weight everywhere, BP will not converge

Symmetry breaking: understanding with proper diagram



Symmetry About The red Line should Be broken **Note:** The whole structure shown in earlier slide is reducible to a single neuron with given behavior

 $Out = k_1 x_1 + k_2 x_2 + k_3$

Claim: A neuron with linear I-O behavior can't compute X-OR.

Proof: Considering all possible cases:

[assuming 0.1 and 0.9 as the lower and upper thresholds] $m(w_1.0+w_2.0-\theta)+c<0.1$ For (0,0), Zero class: $\Rightarrow c-m.\theta<0.1$

 $m(w_1.1+w_2.0-\theta)+c>0.9$ $\Rightarrow m.w_1-m.\theta+c>0.9$

For (0,1), One class:

For (1,0), One class: $m.w_2 - m.\theta + c > 0.9$

For (1,1), Zero class: $m.W_1 - m_2.\theta + c < 0.1$

These equations are inconsistent. Hence X-OR can't be computed.

Observations:

- 1. A linear neuron can't compute X-OR.
- 2. A multilayer FFN with linear neurons is collapsible to a single linear neuron, hence **no a additional power due to hidden layer.**
- 3. Non-linearity is essential for power.

An application in Medical Domain

Expert Systems also called Knowledge Based Systems (KBS)

- Expert Systems aim to mimic experts: e.g., doctors, lawyers, metallurgists and so on
- Expert knowledge is encoded in either of the two forms
 - An elaborate set of rules, or
 - Neural net
- The latter is called a connectionist expert system

Expert System for Skin Diseases Diagnosis

- Bumpiness and scaliness of skin
- Mostly for symptom gathering and for developing diagnosis skills
- Not replacing doctor's diagnosis

Architecture of the FF NN

- 96-20-10
- 96 input neurons, 20 hidden layer neurons, 10 output neurons
- Inputs: skin disease symptoms and their parameters
 - Location, distribution, shape, arrangement, pattern, number of lesions, presence of an active norder, amount of scale, elevation of papuls, color, altered pigmentation, itching, pustules, lymphadenopathy, palmer thickening, results of microscopic examination, presence of herald pathc, result of dermatology test called KOH

Output

- 10 neurons indicative of the diseases:
 - psoriasis, pityriasis rubra pilaris, lichen planus, pityriasis rosea, tinea versicolor, dermatophytosis, cutaneous T-cell lymphoma, secondery syphilis, chronic contact dermatitis, soberrheic dermatitis



Figure : Explanation of dermatophytosis diagnosis using the DESKNET expert system.

Training data

- Input specs of 10 model diseases from 250 patients
- 0.5 is some specific symptom value is not known
- Trained using standard error backpropagation algorithm

Testing

- Previously unused symptom and disease data of 99 patients
- Result:
- Correct diagnosis achieved for 70% of papulosquamous group skin diseases
- Success rate above 80% for the remaining diseases except for psoriasis
- psoriasis diagnosed correctly only in 30% of the cases
- Psoriasis resembles other diseases within the papulosquamous group of diseases, and is somewhat difficult even for specialists to recognise.

Explanation capability

- Rule based systems reveal the explicit path of reasoning through the textual statements
- Connectionist expert systems reach conclusions through complex, non linear and simultaneous interaction of many units
- Analysing the effect of a single input or a single group of inputs would be difficult and would yield incorrect results

Explanation contd.

- The hidden layer re-represents the data
- Outputs of hidden neurons are neither symtoms nor decisions
Discussion

- Symptoms and parameters contributing to the diagnosis found from the n/w
- Standard deviation, mean and other tests of significance used to arrive at the importance of contributing parameters
- The n/w acts as apprentice to the expert

CS772 midsem questions in 2021 on FFNN (10, 11, 12) (cntd.)

The input \rightarrow output patterns are $\langle X_2, X_1 \rangle \rightarrow \langle O_2, O_1 \rangle$:

 $<0,0>\rightarrow<1,0>$ $<0,1>\rightarrow<0,1>$ $<1,0>\rightarrow<0,1>$ $<1,0>\rightarrow<0,1>$ $<1,1>\rightarrow<1,0>$

- I.e., o₁ computes XOR and o₂ computes XNOR
- The values of all weights are initialized to 1; also there are no bias terms.

Q10

Q10. For the input <0,1>, the outputs from H_1 , H_2 , O_1 , O_2 are respectively (a) 1, 1, 0.5, 0.5 (b) 1,0.5, 1, 0.5 (c) 0.5,0.5, 1, 1 (d) 0.5, 1, 0.5, 1

Ans: (a) Elaboration:

 $X_1=1, X_2=0 \Rightarrow net_{H1}=1, net_{H2}=1$ $\Rightarrow h_1=relu(net_{H1})=1, h_2=relu(net_{H2})=1$ $\Rightarrow net_1=2, net_2=2$ $\Rightarrow o_1=e^{net1}/(e^{net1}+e^{net2})=0.5, o_2=e^{net2}/(e^{net1}+e^{net2})=0.5$



Q11

Q11. The cross entropy error value for the input <1,1> (X_2, X_1) with respect to Q10 is (assume weight changes are posted only after a complete epoch, and initial weights are all 1):

- (a) 1/log_e2
- (b) $\log_e 2$
- (c) $2\log_{e}(0.5)$
- (d) None of the above
- Ans: (b)

Elaboration:

 $X_1=1, X_2=1 \rightarrow net_{H1}=2, net_{H2}=2 \rightarrow h_1=2, h_2=2 \rightarrow net_1=4, net_2=4$ $\Rightarrow o_1=e^{net1}/(e^{net1}+e^{net2})=0.5, o_2=e^{net2}/(e^{net1}+e^{net2})=0.5, t_1=0, t_2=1$ $\Rightarrow E=-1log_e(0.5)-0log_e0=log_e2$



Q12

Q12. Again consider the network of Q10, with same input-output patterns and initial values of weights (all 1). Assume the learning rate to be 0.5. The weight change values for the connections X_1 -to- H_1 and H_1 -to- O_1 given the input <0,1> (X_2 , X_1) are: (a) 0, 0.5 (b) -0.5, 1

(c) 0.25, 0

(d) None of the above

Ans: (d)

Elaboration: next slide



Q12 (cntd.)

Elaboration:

$$\begin{split} X_1 = 1, \ X_2 = 0 \Rightarrow o_1 = 0.5, \ o_2 = 0.5, \ t_1 = 1, \\ t_2 = 0 \Rightarrow \delta_{o_1} = t_1 - o_1 = 0.5, \ \delta_{o_2} = t_2 - o_2 = -0.5 \\ \Rightarrow \Delta W_{o_1H_1} = \eta \delta_{o_1} h_1 = 0.5.0.5.1 = 0.25, \end{split}$$

$$\delta_{H1} = (W_{11}\delta_{01} + W_{21}\delta_{02}).r'(H_1)$$

= (1X0.5+1X-0.5).1=0
$$\Rightarrow \Delta W_{H1X1} = \eta \delta_{H1}X_1 = 0.5X0X1 = 0$$

